



Filing ID #10024306

FINANCIAL DISCLOSURE REPORT

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FILER INFORMATION

Name: Aliena Kern
Status: Congressional Candidate
State/District: MNo6

FILING INFORMATION

Filing Type: Candidate Report
Filing Year: 2018
Filing Date: 08/1/2018
Period Covered: 01/01/2017– 07/19/2018

SCHEDULE A: ASSETS AND "UNEARNED" INCOME

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Brokerage Account 4508 ⇒ Personal Savings [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
Credit Union [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	None
Houghton House 1 [RP] LOCATION: Houghton, MI, US	JT	\$50,001 - \$100,000	Rent	None	\$1,001 - \$2,500
Houghton House 2 [RP] LOCATION: Houghton, MI, US	JT	\$100,001 - \$250,000	Rent	None	None
Kern Statistical Services, Inc., 50% Interest [OL] LOCATION: Sartell, MN, US DESCRIPTION: Net Income to Share Holders	JT	Undetermined	Dividends	\$15,001 - \$50,000	\$15,001 - \$50,000
Nebraska House [RP] LOCATION: Kearney, NE, US	JT	\$100,001 - \$250,000	Rent	None	None

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Putnam Investments ⇒ Putnam Growth and Income-A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Putnam Investments ⇒ Putnam Growth Opportunities - A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Putnam Investments ⇒ Putnam International Growth [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Putnam Investments ⇒ Putnam International Value [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Putnam Investments ⇒ Putnam Multi-Cap Growth Fund -A [MF]	SP	\$15,001 - \$50,000	Tax-Deferred		
Putnam Investments ⇒ Putnam Multi-Cap Value Fund-A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Putnam Investments ⇒ Putnam Small Cap Value Fund - A [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Reward xxx1 [BA]	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	None
Rollover 2311 ⇒ PowerShares QQQ Trust, Series 1 (QQQ) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Rollover 2311 ⇒ Vanguard Total Stock Market ETF (VTI) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Roth 6689 ⇒ iShares Dow Jones U.S. ETF (IYY) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Roth 6689 ⇒ Microsoft Corporation (MSFT) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Roth 6689 ⇒ SPDR Dow Jones Industrial Average ETF (DIA) [ST]		\$1,001 - \$15,000	Tax-Deferred		
Roth 6689 ⇒ VBIIX [MF]		\$1,001 - \$15,000	Tax-Deferred		

Asset	Owner	Value of Asset	Income Type(s)	Income Current Year to Filing	Income Preceding Year
Roth-6653 ⇒ iShares Core U.S. Vaue ETF (IUSV) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Roth-6653 ⇒ PowerShares QQQ Trust, Series 1 (QQQ) [ST]	SP	\$1,001 - \$15,000	Tax-Deferred		
Roth-6653 ⇒ VBIIX [MF]	SP	\$1,001 - \$15,000	Tax-Deferred		
Savings-4136 [BA] DESCRIPTION: Income was less than \$1.00.	JT	\$1,001 - \$15,000	Interest	\$1 - \$200	\$1 - \$200
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ Amazon.com, Inc. (AMZN) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ Cash [IH]	SP	\$100,001 - \$250,000	Tax-Deferred		
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ Nacel Energy Corp. (NCEN) [ST]	SP	\$1 - \$1,000	Tax-Deferred		
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ PowerShares QQQ Trust, Series 1 (QQQ) [ST]	SP	\$100,001 - \$250,000	Tax-Deferred		
SEP IRA-2817 (Kern Statistical Services, Inc.) ⇒ SPDR S&P 500 (SPY) [ST]	SP	\$50,001 - \$100,000	Tax-Deferred		
TIAA-CREF ⇒ TIAA-CREF [MF]		\$15,001 - \$50,000	Tax-Deferred		

* Asset class details available at the bottom of this form. For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

SCHEDULE C: EARNED INCOME

Source	Type	Amount Current Year to Filing	Amount Preceding Year
Kern Statistical Services, Inc.	Spouse Salary	N/A	N/A

SCHEDULE D: LIABILITIES

Owner	Creditor	Date Incurred	Type	Amount of Liability
JT	US Bank	May 2011	Mortgage on Rental House (Houghton House 1)	\$15,001 - \$50,000
JT	US Bank	June 2003	Revolving business credit line	\$15,001 - \$50,000
JT	US Bank	January 2014	Auto Loan	\$10,000 - \$15,000
JT	MTFECU	September 2016	Mortgage on Houghton House 2	\$50,001 - \$100,000
JT	Master Card	January 2017	Line of Credit - House Reno Projects	\$10,000 - \$15,000

SCHEDULE E: POSITIONS

Position	Name of Organization
Secretary/Treasurer	Kern Statistical Services, Inc.

SCHEDULE F: AGREEMENTS

None disclosed.

SCHEDULE J: COMPENSATION IN EXCESS OF \$5,000 PAID BY ONE SOURCE

None disclosed.

SCHEDULE A ASSET CLASS DETAILS

<ul style="list-style-type: none"> ◦ Brokerage Account 4508 (50% Interest) (Owner: JT) LOCATION: US DESCRIPTION: Taxable brokerage account holding stocks and bonds. ETRADE ◦ Putnam Investments (Owner: SP) ◦ Rollover 2311 DESCRIPTION: Jeanene IRA from American Tower ◦ Roth 6689 DESCRIPTION: Roth IRA in Jeanene's Name ◦ Roth-6653 (Owner: SP) DESCRIPTION: Roth IRA owned by John Kern ◦ SEP IRA-2817 (Kern Statistical Services, Inc.) (Owner: SP)

DESCRIPTION: Simplified Employee Pension IRA Account at ETRADE

- o TIAA-CREF

EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

Trusts: Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

Exemption: Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

Digitally Signed: Aliena Kern , 08/1/2018